

New York Reg 187 & the NAIC Model Suitability Reg Update

Breakout Session Speakers: Caillie Currin & Pete Rock – Currin Compliance

Are you confused by what happened to the DOL, what the SEC and NAIC are up to? Maryland, Nevada and New Jersey? How about NY? What does it mean suitability and best interest? If you have these or other questions, join us for answers and an interactive discussion about these and other “standard of care” issues.